



UNITED STATES PATENT AND TRADEMARK OFFICE



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/087,237 | 03/01/2002 | Ajay Kumar | 5681-07800 |

CONFIRMATION NO. 6956

FORMALITIES LETTER



OC00000007742685

Robert C. Kowert
Conley, Rose, & Tayon, P.C.
P.O. Box 398
Austin, TX 78767

Date Mailed: 03/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$708.
 - \$540 for 30 total claims over 20.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1578.**

05/02/2002 BABRAHA1 00000022 501505 10087237

| | |
|-----------|-----------|
| 01 FC:101 | 740.00 CH |
| 02 FC:105 | 130.00 CH |
| 03 FC:103 | 540.00 CH |
| 04 FC:102 | 168.00 CH |

*A copy of this notice **MUST** be returned with the reply.*

Haimanot Tegbaru

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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A3 MP\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/087,237

Filed: March 1, 2002

Inventors:

Ajay Kumar

Hanumanthu Rao Susarla

Prakash Khemani

Title: System and Method for State
Saves in a Distributed Data
System

§ Examiner: Unknown
§ Group/Art Unit: 2151
§ Atty. Dkt. No: 5681-07800
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert

Printed Name



Signature

April 19, 2002

Date

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application, mailed March 29, 2002, there is enclosed herewith:

- ☒ An Assignment of the invention to Sun Microsystems, Inc.
- ☒ A combined Declaration and Power of Attorney executed by the inventor(s)

- ☒ A Fee Authorization Form for \$1,618.00 to cover:
 - filing fee for large entity (\$740.00)
 - surcharge for late filing of oath or declaration for large entity (\$130.00)
 - assignment fee (\$40.00)
 - extra independent claims (\$168.00)
 - extra dependent claims (\$540.00)
- ☒ A copy of Notice to File Missing Parts of Application Filing Date Granted
- ☒ A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5681-07800RCK.

Respectfully submitted,



Robert C. Kowert
Reg. No. 39,255
Attorney for Applicants

Conley, Rose & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767-0398
Ph: (512) 476-1400

Date: April 19, 2002

#3



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/087,237

Filed: March 1, 2002

Inventors:

Ajay Kumar

Hanumanthu Rao Susarla

Prakash Khemani

Title: System and Method for State
Saves in a Distributed Data
System

Examiner: Unknown
Group/Art Unit: 2151
Atty. Dkt. No: 5681-07800

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Robert C. Kowert

Printed Name

[Signature]

April 19, 2002

Signature

Date

FEE AUTHORIZATION

Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5681-07800/RCK:

Fee: Filing Fee Amount: \$740.00

Fee: Miss. Parts Fee Amount: \$130.00

Fee: Excess Claims Amount: \$708.00

Attorney Docket No.: 5681-07800

Attorney Docket No.: 5681-07800

Attorney Docket No.: 5681-07800

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5681-07800/RCK.

Respectfully submitted,

[Signature]

Robert C. Kowert

Reg. No. 39,255

Conley, Rose & Tayon, P.C.
P.O. Box 398
Austin, TX 78767-0398
(512) 476-1400
Date: April 19, 2002